

**FORMAT OF SELF-ASSESSMENT AND CERTIFICATION ON COMPLIANCE WITH RULES
AND REGULATIONS ON BANK PROTECTION**
(Appendix to Sec. 147 on Minimum security measures)

(Name of Bank)

I hereby certify that the Bank has developed and adopted an updated security program which has been reduced in writing and approved by the Bank's Board of Directors in its Resolution No. _____ dated _____ and retained by this Bank in such form as will readily permit determination of its adequacy and effectiveness. I also certify that I have evaluated/assessed said security program and its implementation and that to the best of my knowledge and belief said security program equals or exceeds the standards prescribed by the Bangko Sentral rules and regulations.

Attached are the results of the self-assessment prepared under my supervision regarding the Bank's security program.

President

Date

**ASSESSMENT OF COMPLIANCE WITH RULES AND REGULATIONS ON BANK
PROTECTION**

(Name of Bank)

I, _____, Security Officer of (Name of Bank), hereby certify that -

1. The Bank has a written security program approved by its board of directors and retained by this Bank in such form as will readily permit determination of its adequacy and effectiveness;

2. The security program is compliant with the standards set by BSP rules and regulations and commensurate to the Bank's operations, taking into consideration its size, locations and the number of its offices. The security program of the Bank is deemed adequate to promote maximum protection of life and property against crimes and other destructive causes; prevent and discourage crimes against the Bank; and assist law enforcement agencies in the identification and prosecution of perpetrators of crimes committed against the Bank;

3. The assessment we conducted last _____ disclosed that said security program of the Bank has faithfully been implemented by the Bank and the implementation thereof is substantially compliant with the requirements on bank protection prescribed under Section 147 as follows:

a. Guard system Description of the system

b. Security devices

Description of the security devices, such as:

- Surveillance system;
- Burglar alarm system; and
- Robbery/hold-up alarm system.

c. Vaults and safes

State physical description and minimum security measures designed for the vault

d. Security of the premises

Description of the security measures/devices for banking premises

e. Automated Teller Machines (ATM)

Description of security measures/devices for ATMs

f. Armored car operation

Description of armored vehicles and security measures adopted for them

g. Employees training

Describe training given

There are no noted adverse deviations of the program from the requirements under BSP rules and regulations. (If there are deviations, please state, "We noted the following deviations and the measures taken to address the deviations.")

4. The Bank has written procedures on the following emergency programs:

a. Anti-robbery/hold-up plan;

b. Bomb threat plan;

c. Fire protection plan; and

d. Other disaster plan like earthquake and terrorist attack.

5. The Bank periodically inspects, tests and reviews its security program and records thereof are

adequately maintained and will be made readily available to the BSP for the determination of the program's adequacy and effectiveness.

Security Officer

Date

Noted by:

President

Date

(Circular No. 620 dated 03 September 2008)