

## D. GENERAL PROVISIONS

<b>Section</b>	<b>431</b>	Securities Custodianship and Securities Registry Operations
<b>Section</b>	<b>432</b>	Custody of Assets
<b>Section</b>	<b>433</b>	Fees and Commissions
<b>Section</b>	<b>434</b>	Taxes
<b>Section</b>	<b>435</b>	Books, Records and Reports Required
<b>Section</b>	<b>436</b>	Audits
<b>Section</b>	<b>437</b>	Authority Resulting from Merger or Consolidation
<b>Section</b>	<b>438</b>	Non-Trust, Non-Fiduciary and/or Non-Investment Management Activities
<b>Section</b>	<b>439</b>	Receivership
<b>Section</b>	<b>440</b>	Surrender of Trust or Investment Management License