

D. GENERAL PROVISIONS

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| Section | 431 | Securities Custodianship and Securities Registry Operations |
| Section | 432 | Custody of Assets |
| Section | 433 | Fees and Commissions |
| Section | 434 | Taxes |
| Section | 435 | Books, Records and Reports Required |
| Section | 436 | Audits |
| Section | 437 | Authority Resulting from Merger or Consolidation |
| Section | 438 | Non-Trust, Non-Fiduciary and/or Non-Investment Management Activities |
| Section | 439 | Receivership |
| Section | 440 | Surrender of Trust or Investment Management License |